

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.
115274-017

Application No.
09/548,235

Applicant
Levergood et al.

Filing Date
April 12, 2000

Group
~~2155~~ 2145

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| Examiner's Initials | Document Number | Publication Date | Inventor | Class | Subclass | Filing Date If Appropriate |
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Examiner: *Patrice J. Winder*

Date Considered: 10-30-06

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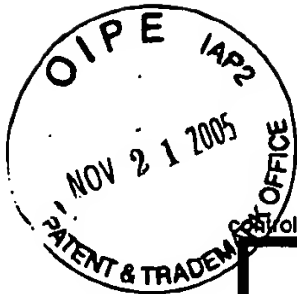
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| First Named Inventor | Levergood et al. |
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| Examiner Name | Patrice L. Winder |
| Attorney Docket Number | 432383600011 |

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Examiner
Signature

Patrice L. Winder

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| Examiner Name | Patrice L. Winder |
| Attorney Docket Number | 432383-600011 |

Sheet 1 of 1

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| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|-------------|---|----------------|
| plw | | Ohmori et al., "An On-line Shopping System Protecting User's Privacy" Information Communication Laboratory of Matsushita Electric Industrial Co., Ltd., pages 25 - 32. Note: 12 Pages of Translation Attached. | |
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Examiner
Signature

Patrice L. Winder

Date

Considered October 30, 2006

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PTO/SB/08A (10-01)
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| Substitute for form 1449A/PTO | | | | Complete if Known | |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Application Number | 09/548235 |
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| | | | | Art Unit | 2145 |
| | | | | Examiner Name | Patrice L. Winder |
| Sheet | 1 | of | 8 | Attorney Docket Number | 432383600011 |
| U.S. PATENT DOCUMENTS | | | | | |
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date/Date of Patent MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| plw | | 4528643 | 7/9/1985 | Freeny, Jr. | |
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| Examiner Signature | Patrice L. Winder | | | Date Considered | 10-30-06 |

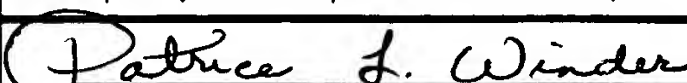
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| plw | | 0172670EP | 2/26/1986 | Technion Research & Development Foundation | |
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| plw | | WO 91/16691 | 10/31/1991 | Johhig Limited | |
| plw | | WO 93/10503 | 5/27/1993 | Citibank N.A. | |
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| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | |
| | | PLEADINGS: | | | |
| plw | | Amazon.com's Reply in Support of Renewed Motion to Stay | | | |
| | | Deposition of G. Winfield Treese, dated October 27, 2004. | | | |
| | | Deposition of Glenn Arthur Hauman with Exhibits (October 28, 2004) | | | |
| | | Joint Claim Construction Chart (Patent Local Rule 4-5D), filed December 27, 2004 with Appendix A. | | | |
| | | Memorandum Opinion dated April 7, 2005 | | | |
| | | Motion to Stay [Renewed] by Amazon.com. (Attachments: # 1 Affidavit # 2 Text of Proposed Order)(Nelson, Justin) (Entered: 04/05/2005) | | | |
| | | Notice by Amazon.com re Answer to Amended Complaint, Counterclaim Of Rejection Of Claims 1-45 Of U.S. Patent No. 5,708,780 | | | |
| | | Order Denying Amazon's Motion to Stay Proceedings Pending Completion of the Reexamination. | | | |
| | | Soverain's Disclosure of Asserted Claims and Preliminary Infringement Contentions dated June 3, 2004. | | | |
| | | Soverain's Opposition to Amazon's Renewed Motion to Stay | | | |
| | | Soverain's Reply to Amazon.Com's Amended Counterclaims, dated January 14, 2005. | | | |
| | | Soverain's Reply to Response to Motion re: Motion to Stay | | | |
| | | Soverain's Answer to Counterclaim (Amazon's Third Amended Counterclaim) by Soverain Software LLC.(Seraphine, Jennifer) (Entered:03/17/2005) | | | |
| | | Soverain's Fourth Supplemental Responses to Amazon's First Set of Interrogatories (Nos. 1-14) dated March 21, 2005 | | | |
| | | Soverain's Responses to Amazon's First Set of Requests for Admission to Plaintiff Soverain Software (Nos. 1-100) dated March 21, 2005 | | | |
| | | Soverain's Responses to Interrogatory Nos. 22, 23, 26 and 36 of Amazon's Third Set of Interrogatories (Nos. 17-38) dated March 21, 2005 | | | |
| plw | | Third Supplement to Defendant Amazon's Initial Disclosures, dated March 4, 2005. | | | |
| plw | | Transcript of the Markman Hearing Before the Honorable Leonard David United States District Judge, January 6, 2005. | | | |
| | | VideoTaped Deposition of Andrew Payne dated March 11, 2005. | | | |
| | | VideoTaped Deposition of Glenn Crocker with Exhibits (March 10, 2005) | | | |
| | | VideoTaped Deposition of Glenn Trewitt dated January 25, 2005 (2 parts). | | | |
| | | VideoTaped Deposition of Mark Levergood dated March 8, 2005 (2 Parts). | | | |
| | | VideoTaped Deposition of Stephen Morris dated March 9, 2005. | | | |
| Examiner Signature | |  | | | Date Considered 10-30-06 |

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| | | | | Examiner Name | Patrice L. Winder |
| | | | | Attorney Docket Number | 432383600011 |
| Sheet | 4 | of | 8 | | |
| plw | | Amazon.com Inc.'s Unopposed Motion for Leave to Amend its Answer to Include Allegations Regarding Stuff.com | | | |
| | | Amazon.com's Motion for Partial Summary Judgment that claims are indefinite under 35 U.S.C. 112 | | | |
| | | Amazon.com's Motion for Partial Summary Judgment that '314 claims 34-39, '492 claims 17-18 and 35-36, and '780 claims 1, 4, and 22-24 are invalid under 35 U.S.C. 102 | | | |
| | | Declaration of James E. Geringer in Support of Amazon.com, Inc.'s Motion for Leave to Amend its Answer and Counterclaims to Add Stuff.com | | | |
| | | Deposition of Glenn M. Trewitt with Exhibits (January 25, 2005) | | | |
| | | Deposition of Joshua Smith with Exhibits (March 2, 2005) | | | |
| | | Deposition of Kevin Ming-Wei Kadala Hughes with Exhibits (March 21, 2005) | | | |
| | | Deposition of Michael Lazzaro with Exhibits (March 9, 2005) | | | |
| | | Deposition of Thomas Soutanille with Exhibits (March 14, 2005) | | | |
| | | Exhibit 1 of Geringer Declaration: Excerpts of Deposition of Michael Kuniavsky | | | |
| | | Exhibit 2 of Geringer Declaration: E-mail from Brooks Cutter to Mike Kuniavsky (June 14, 1994) | | | |
| | | Exhibit 3 of Geringer Declaration: Excerpts of Deposition of Richard Boake | | | |
| | | Exhibit 5 of Geringer Declaration: Excerpts of Deposition of Andrew Payne | | | |
| | | Exhibit 6 of Geringer Declaration: E-mail from Andrew Payne to Winfield Treese, et al. (June 15, 1994) | | | |
| | plw | | Exhibit 7 of Geringer Declaration: Excerpts of Deposition of Winfield Treese | | |
| | | Exhibit 8 of Geringer Declaration: Amazon.com, Inc.'s [Proposed] fourth Amended Answer, Affirmative Defenses and Counterclaims to Sovereign Software, LLC's Complaint (Redlined Version) | | | |
| | | Supplemental Disclosure of Preliminary Invalidity Contentions by Amazon and Gap dated July 26, 2004. | | | |
| | | Videotaped Deposition of Guy Henry Timothy Haskin with Exhibits (March 16, 2005) | | | |
| | | Videotaped Deposition of Michael Kuniavsky with Exhibits (February 22, 2005) | | | |
| plw | | Videotaped Deposition of Phillip Hallam Baker with Exhibits (March 11, 2005) | | | |
| | | Videotaped Deposition of Robert Allen Olson with Exhibits (March 3, 2005) | | | |
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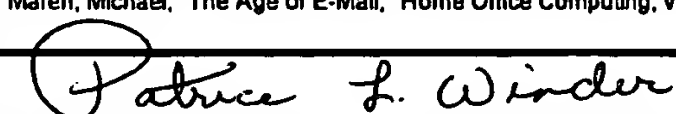
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| | | | | Examiner Name | Patrice L. Winder |
| Sheet | 5 | of | 8 | Attorney Docket Number | 432383600011 |
| plw | | | | Case Study: The CIRRUS Banking Network; Comm. ACM 8, 28 pp. 797-8078; Aug., 1985. | |
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| <i>plw</i> <div style="border-left: 1px solid black; height: 200px; margin-left: 10px;"></div> | | Ariel Puler, I/PRO 2nd WWW Conference, Chicago, IL (10/94) (Presentation) | | | |
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